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PAGE 01

S/N 09/998,360

OCT 16 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Bosworth-Davies, et al.	Examiner:	J. Patel	
Serial No.:	09/998,360	Group Art Unit:	3624	
Filed:	November 29, 2001	Docket No.:	TN223	
Title:	COUNTERMEASURES FOR IRREGULARITIES IN FINANCIAL TRANSACTIONS			

U.S. Patent and Trademark Office Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE OF TRANSMISSION VIA FACSIMILE

I hereby certify that the attached Amendment Transmittal Letter and Amendment in Response to Non-Final Office Action in the above-identified patent application, along with any paper referred to as being attached or enclosed, is being transmitted to telefax number (571) 273-8300.

Date: October 16, 2006

Tracey Cohen (Print Name)

(Signature)

18 Sheets Inclusive

CONFIRMATION OF RECEIPT IS REQUESTED VIA RETURN FACSIMILE TO 215-986-3090

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PAGE 02

<u>OCT 16 2006</u> AMENDMENT TRANSMITTAL LETTER Docket No. Customer No. TN223 Applicant(s): Bosworth-Davies, et al. 27276 Serial No. Filing Date Examiner Group Art Unit 09/998,360 November 29, 2001 J. Patel 3624 Invention: COUNTERMEASURES FOR IRREGULARITIES IN FINANCIAL TRANSACTIONS TO THE COMMISSIONER FOR PATENTS: P. O. BOX 1450 ALEXANDRIA, VA 22313-1450 CLAIMS AS AMENDED **CLAIMS** HIGHEST # **#EXTRA** RATE ADDITIONAL REMAINING PREV. PAID FEE AFTER ' **FOR** AMENDMENT **Total Claims** 66 67 0 \$18 \$0.00 Indep. Claims 5 5 0 \$88 \$0.00 Multiple Dependent Claims (check if applicable) \$0.00 TOTAL ADDITIONAL FEE FOR THIS AMENDMENT \$0.00 冈 No additional fee is required for amendment. The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-3790 as described below. A duplicate copy of this sheet is enclosed. Charge the amount of \$0.00 as filing fee. Credit any overpayment. Charge any additional filing fees required under 37-C.F.R. 1.16 and 1.1 Richard J. Gregson Registration No. 41,804 **Unisys Corporation** Unisys Way MS/E8-114 Blue Bell, Pennsylvania 19424 (215) 986-3325 Fax (215) 986-3090 Certificate of Transmission/Mailing I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Date: October 16, 2006 Printed Name: 1 Y

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215-986-3090

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PAGE 03

OCT 16 2006

AMENDMENT TRANSMITTAL LETTER Applicant(s): Bosworth-Davies, et al.			Docket No. TN223	Customer No. 27276	
09/998,360 November		ng Date Examiner er 29, 2001 J. Patel			Froup Art Unit 3624
Invention: COU	NTERMEASURES FO	R IRREGULARITIE	S IN FINANCIA	L TRANSACTION	IS
		COMMISSIONER P. O. BOX 14 EXANDRIA, VA 2	50 ·		
	•	CLAIMS AS A	MENDED		
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	#EXTRA	RATE	ADDITIONAL FEE
Total Claims	66	67	0	\$18	\$0.00
Indep. Claims	5	5	0	\$88	\$9.00
Multiple Depender	nt Claims (check if app	licable)			\$0.00
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☐ The Assistated described below.	al fee is required for amount Commissioner is here A duplicate copy of this the amount of \$0.00 as fury overpayment.	by authorized to cha sheet is enclosed.	rge and credit De	posit Account No. 1	19-3 7 90 as
⊠ Charge	any additional filing fee	Ric Reg Uni Uni Blu (21:	hard J. Gregson gistration No. 41 sys Corporation sys Way MS/Es e Bell, Pennsylv: 5) 986-3325 Fax	1,804	
Alexandria, VA 2231	this correspondence is being t postage as first class mail is 3-1450 on the date shown be		the TISTEY and don	posited with the United for Patents, P.O. Box	States Postal 1450,
Printed Name: 177	acey Coher)	Date: Octobe	16,006	

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Applicant:	BOSWORTH-DAVIES ET AL.	Examiner:	J. PATEL
PATEL	09/998,360	Group Art Unit:	3624
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Title:	COUNTERMEASURES FOR IRR TRANSACTION	EGULARITIES IN FI	NANCIAL

CERTIFICATE UNDER 37 CFR 1.8:

The undersigned hereby certifies that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313 on 10 10 10

Name: Tracey Cohen

Mail Stop Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION

This is responsive to the official communication of July 17, 2006, rejecting various claims based variously on sections 102 and 112 of Title 35 of the U.S. Code. The Examiner indicates that claims 2, 6, 8, 10-15, 20, and 21 are object to as containing allowable subject matter but are based upon a rejected base claim. Amendment of the claims, reconsideration of the application, and allowance of all pending claims are respectfully requested.

If an extension is required, please consider this a request therefore. Please charge any additional fees to Deposit Account No. 19-3790.

The claims are modified responsively and argument is presented in the Remarks section below.